

**CONTINUED PROSECUTION APPLICATION (CPA)**  
**REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing

CHECK BOX, if applicable  
☐ DUPLICATE

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

|  |   |                        |
|--|---|------------------------|
| Address to:<br><br>Assistant Commissioner for Patents<br>Box CPA<br>Washington, DC 20231 | Attorney Docket No.<br>of Prior Application | 015114-052310US        |
|  | First Named Inventor                        | Anderson, Sidney Larry |
|  | Examiner Name                               | N. Parekh              |
|  | Group Art Unit                              | 2811                   |
|  | Express Mail Label No.                      | EL140089349US          |

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),  
(continued prosecution application (CPA)) of prior application number 09/517,345 filed on March 2, 2000  
entitled METHOD AND STRUCTURE FOR INTEGRATED CIRCUIT PACKAGE

**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form.  
Provide credit card information and authorization on PTO-2038.

1. ☒ Enter the unentered amendment previously filed on January 22, 2002 under 37 CFR 1.116 in the prior nonprovisional application
2. ☐ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4).
  - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

#18  
CPA  
4-30-02  
CPT

RECEIVED  
MAY 22 2002  
10:22 AM  
MAIL ROOM

04/18/2002 MGEEREN1 00000031 201430 09517345

740.00 CH  
84.00 CH  
324.00 CH

01 FC:131  
02 FC:102  
03 FC:103



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| CLAIMS  | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE                      | (5) CALCULATIONS |
|---|---------|------------------|------------------|-------------------------------|------------------|
| TOTAL CLAIMS<br>(37 CFR 1.16(c) or (j))                         |         | 38-20* =         | 18               | x \$18=                       | \$324            |
| INDEPENDENT CLAIMS<br>37 CFR 1.16(b) or (i))                    |         | 4-3** =          | 1                | x \$84=                       | \$84             |
| MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))      |         |                  |                  | x =                           |                  |
|   |         |                  |                  | BASIC FEE<br>(37 CFR 1.16)    | \$740            |
|   |         |                  |                  | Total of above Calculations = | \$1,148.00       |
| Reduction by 50% for filing by small entity (Note 37 CFR 1.27). |         |                  |                  |                               |                  |
| * Reissue claims in excess of 20 and over original patent.      |         |                  |                  |                               |                  |
| ** Reissue independent claims over original patent.             |         |                  |                  | TOTAL =                       | \$1,148.00       |

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 20-1430 :
- a. ☐ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of \_\_\_\_\_ months (not to exceed 3 months) and the fee under 37 CFR 1.17(l) enclosed.
11. ☐ New Attorney Docket Number, if desired  
[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)  
b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☐ Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this CPA  
UNLESS a new correspondence address is provided below.

**14. NEW CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code LabelCustomer No. 20350  
(Insert Customer No. or Attach bar code label here)or ☐ New correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

**15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print /Type)

Craig P. Wong

Signature

Registration No. (Attorney/Agent)

45,231

Date

April 15, 2002

04-17-02

PTO/SB/17 (09-00)

Approved through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CPA/2800  
#**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 2068

## Complete if Known

|                      |                        |
|----------------------|------------------------|
| Application Number   | 09/517,345             |
| Filing Date          | March 2, 2000          |
| First Named Inventor | Anderson, Sidney Larry |
| Examiner Name        | N. Parekh              |
| Group Art Unit       | 2811                   |
| Attorney Docket No.  | 015114-052310US        |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101                  | 740                   | 201                  | 370                   | Utility filing fee     | 740      |
| 106                  | 330                   | 206                  | 165                   | Design filing fee      |          |
| 107                  | 510                   | 207                  | 255                   | Plant filing fee       |          |
| 108                  | 740                   | 208                  | 370                   | Reissue filing fee     |          |
| 114                  | 160                   | 214                  | 80                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$740)

## 2. EXTRA CLAIM FEES

| Total Claims          | Extra<br>Claims | Fee from<br>below | Fee<br>Paid |
|-----------------------|-----------------|-------------------|-------------|
| 38                    | -20**           | 18                | \$324       |
| 4                     | -3**            | 1                 | \$84        |
| Multiple<br>Dependent |                 |                   |             |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 84                    | 202                  | 42                    | Independent claims in excess of 3                          |
| 104                  | 280                   | 204                  | 140                   | Multiple dependent claim, if not paid                      |
| 109                  | 84                    | 209                  | 42                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$408)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  | Fee<br>Paid |
|----------------------|-----------------------|----------------------|-----------------------|--|-------------|
| 105                  | 130                   | 205                  | 65                    | Surcharge - late filing fee or oath  |             |
| 127                  | 50                    | 227                  | 25                    | Surcharge - late provisional filing fee or cover sheet.                    |             |
| 139                  | 130                   | 139                  | 130                   | Non-English specification  |             |
| 147                  | 2,520                 | 147                  | 2,520                 | For filing a request for reexamination                                     |             |
| 112                  | 920*                  | 112                  | 920*                  | Requesting publication of SIR prior to Examiner action                     |             |
| 113                  | 1,840*                | 113                  | 1,840*                | Requesting publication of SIR after Examiner action                        |             |
| 115                  | 110                   | 215                  | 55                    | Extension for reply within first month                                     |             |
| 116                  | 400                   | 216                  | 200                   | Extension for reply within second month                                    |             |
| 117                  | 920                   | 217                  | 460                   | Extension for reply within third month                                     | 920         |
| 118                  | 1,440                 | 218                  | 720                   | Extension for reply within fourth month                                    |             |
| 128                  | 1,960                 | 228                  | 980                   | Extension for reply within fifth month                                     |             |
| 119                  | 320                   | 219                  | 160                   | Notice of Appeal   |             |
| 120                  | 320                   | 220                  | 160                   | Filing a brief in support of an appeal                                     |             |
| 121                  | 280                   | 221                  | 140                   | Request for oral hearing   |             |
| 138                  | 1,510                 | 138                  | 1,510                 | Petition to institute a public use proceeding                              |             |
| 140                  | 110                   | 240                  | 55                    | Petition to revive - unavoidable   |             |
| 141                  | 1,280                 | 241                  | 640                   | Petition to revive - unintentional   |             |
| 142                  | 1,280                 | 242                  | 640                   | Utility issue fee (or reissue)   |             |
| 143                  | 460                   | 243                  | 230                   | Design issue fee   |             |
| 144                  | 620                   | 244                  | 310                   | Plant issue fee  |             |
| 122                  | 130                   | 122                  | 130                   | Petitions to the Commissioner  |             |
| 123                  | 50                    | 123                  | 50                    | Petitions related to provisional applications                              |             |
| 126                  | 180                   | 126                  | 180                   | Submission of Information Disclosure Stmt                                  |             |
| 581                  | 40                    | 581                  | 40                    | Recording each patent assignment per property (times number of properties) |             |
| 146                  | 740                   | 246                  | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 149                  | 740                   | 249                  | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |             |
| 179                  | 740                   | 279                  | 370                   | Request for Continued Examination (RCE)                                    |             |
| 169                  | 900                   | 169                  | 900                   | Request for expedited examination of a design application                  |             |

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$920)

## SUBMITTED BY

Complete (if applicable)

|                   |               |                                   |        |           |                |
|-------------------|---------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Craig P. Wong | Registration No. (Attorney/Agent) | 45,231 | Telephone | 650-326-2400   |
| Signature         | Craig P. Wong |                                   |        | Date      | April 15, 2002 |

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